CERTIFICATE OF HAND DELIVERY

I hereby certify that this correspondence is being hand filed with the United States Patent and Trademark Office in Alexandria, Virginia on

November 26, 2003.

Janice Rosier (htc1)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

and

In the application of:

Dirk EFFERENN et al.

Serial No.:

New Application

Filing Date:

November 26, 2003

For:

METHOD FOR FABRICATING A

SEMICONDUCTOR STRUCTURE

Group Art Unit: To Be Assigned

Examiner: To Be Assigned

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97 & 1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 CFR 1.97 and 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Copies of the documents are also submitted herewith. The Examiner is requested to make these documents of record.

This Information Disclosure Statement is submitted together with the filing of this application; accordingly, no fee or separate requirements are required.

Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

The information contained in this Information Disclosure Statement under 37 CFR 1.97 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the event that the transmittal letter is separated from this document and the Patent and Trademark Office determines that an extension and/or other relief is required, applicants petition for any required relief including extensions of time and authorizes the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing <u>543822002300</u>. However, the Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: November 26, 2003

Respectfully submitted,

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Sheet 1 of 1 Form PTO-1449 Docket Number 543822002300 Application Number New Application **Applicant** INFORMATION DISCLOSURE CITATION IN AN APPLICATION Dirk EFFERENN et al. (Use several sheets if necessary) Filing Date November 26, 2003 Group Art Unit To be assigned Mailing Date November 26, 2003 U.S. PATENT DOCUMENTS Examiner Ref. Date Document No. Name Class Subclass Filing Date If **Initials** No. Appropriate 1. 4/17/2003 US 2003/0072198 Goldbach et al. 10/7/2002 FOREIGN PATENT DOCUMENTS Examiner Ref. Date Document No. Country Class Subclass Translation **Initials** No. YES NO 2. 4/24/2003 DE 101 49 199 Germany Abstract 3. 3/6/2003 DE 101 39 430 Germany **Abstract** 4. 3/6/2003 DE 101 39 431 Germany Abstract OTHER DOCUMENTS (including author, title, Date, Pertinent Pages, Etc.) Examiner Ref. Title. **Initials** No. **EXAMINER:** DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in

conformance and not considered. Include a copy of this form with next communication to applicant.